

GP#35 2151

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2151

In re application of: MARK ONTIVEROS et al.

Serial No.: 09/761,499

Filed: January 16, 2001

Title: METHOD AND DEVICE FOR MONITORING

DATA TRAFFIC AND PREVENTING

UNAUTHORIZED ACCESS TO A NETWORK

Atty's Docket No.: CAPTNE/P002A1

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to the requirements of 37 C.F.R. §§ 1.56, 1.97, 1.98, Applicants submit this Information Disclosure Statement which includes a completed Form PTO/SB/08A and copies of the references cited therein. The references cited in this Information Disclosure were cited in an International Search Report for International Application PCT/US02/01065, which the above-identified application claims priority to.

Pursuant to 37 C.F.R. § 1.97(b)(3), no fee is believed to be due for the submission of this Information Disclosure Statement as it is being submitted before the mailing date of an Office Action on

CERTIFICATE OF MAILING (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 on August 23, 2002.

Signature

William P. Smith, Registration No. 34,931

8/23/02

(type or print name of person certifying)

Date

the merits. However, the Commissioner for Patents and Trademarks is hereby authorized to charge any fees which may be required to Deposit Account No. 500287.

Respectfully submitted,

DKW LAW GROUP, P.C.

William P. Smith

Registration No. 34,931 Attorney for Applicants 58th Floor, USX Tower

600 Grant Street

Pittsburgh, PA 15219

Telephone: (412) 355-2600 Facsimile: (412) 355-2609